

12-10-01

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Docket No. 52241-EB/JPW/ADM/PL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents and Trademarks  
P.O. BOX 2327  
Arlington, VA 22202  
S I R:

December 3, 2001

Transmitted herewith for filing are the specification and claims of the patent application of:

Jonathan A. Bard, et al. for  
Inventor(s)

DNA ENCODING GALANIN GALR3 RECEPTORS AND USES THEREOF

Title of Invention

Also enclosed are:

☒ 1 sheet(s) of 19 formal drawings.

☒ Oath or declaration of Applicant(s). (unsigned)

☒ A power of attorney (unsigned)

☐ An assignment of the invention to \_\_\_\_\_

☒ A Preliminary Amendment, Information Disclosure Statement, Marked-up Amendment (Exhibit 1), and copy of the Sequence Listing (Exhibit 2).

☒ A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

|  | NUMBER<br>FILED |   | NUMBER<br>EXTRA*  |   | RATE            |                 | FEE             |                 |
|--|-----------------|---|---|---|-----------------|-----------------|-----------------|-----------------|
|  |                 |   |   |   | SMALL<br>ENTITY | OTHER<br>ENTITY | SMALL<br>ENTITY | OTHER<br>ENTITY |
| Total Claims   | 3 -20           | = | 0   | X | \$ 9.00         | \$18.00         | = \$ 0          |                 |
| Independent Claims   | 1 -3            | = | 0   | X | \$ 42.00        | \$ 84.00        | = \$ 0          |                 |
| Multiple Dependent Claims Presented:                               |                 |   |   |   |                 |                 |                 |                 |
|  |                 |   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |   | \$140.00        | \$ 280.00       | = \$ 0          |                 |
| *If the different in Col. 1 is less than zero, enter "0" in Col. 2 |                 |   |   |   | BASIC FEE       |                 | \$370.00        | \$ 740.00       |
|  |                 |   |   |   | TOTAL FEE       |                 | \$ 370.00       |                 |

Applicants: Jonathan A. Bard, et al.

U.S. Serial No.: Not Yet Known

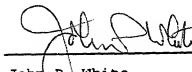
Filed: Herewith

Letter of Transmittal

Page 2

- ☒ A check in the amount of \$ 370.00 to cover the filing fee.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 02-3125:
- ☒ Filing fees under 37 C.F.R. §1.16.
- ☒ Patent application processing fees under 37 C.F.R. §1.17.
- ☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- ☒ Three copies of this sheet are enclosed.
- ☐ A certified copy of previously filed foreign application No. \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_.
- ☐ Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.
- ☒ Other (identify) Express Mail Certificate of Mailing No. EK 146 738 767 US dated December 3, 2001, Statement In Accordance with C.F.R. 1.821(f) (Exhibit 3), ATCC Deposit (Exhibit 4), Form PTO-1449 (Exhibit 5), and duplicate set of drawings.

Respectfully submitted,



John P. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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For : DNA ENCODING GALANIN GALR3 RECEPTORS AND USES  
THEREOF

1185 Avenue of the Americas  
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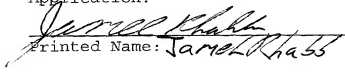
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**BOX: PATENT APPLICATION**

SIR:

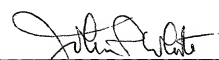
**EXPRESS MAIL CERTIFICATE OF MAILING**  
**FOR ABOVE-IDENTIFIED APPLICATION**

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Date of Deposit: December 3, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents and Trademark, P.O. Box 2327, Arlington, VA 22202, Attn. Box Patent Application.

  
Printed Name: James H. Hays

Respectfully submitted,

  
John F. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400